

Recent Developments in Open Access

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Overview

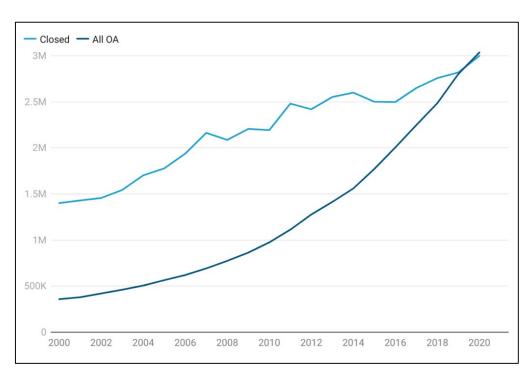
- 1. Growth of open access
- 2. Implementation of Plan S
- 3. Rise of preprints
- 4. Development of open research approaches





Growth of Open Access

- OA is now mainstream
- Data from the
 Dimensions database
 indicates that in 2020
 "more outputs were
 published through
 Open Access channels
 than traditional
 subscription channels
 globally"
- Of the 6.1m items published in 2020, 3.1m OA



Outputs in the Dimensions database, 2000-2020, including all forms of open access, reported Feb 2021, https://www.dimensions.ai/blog/open-access-surpasses-subscription-publication-globally-for-the-first-time/



Implementation of Plan S

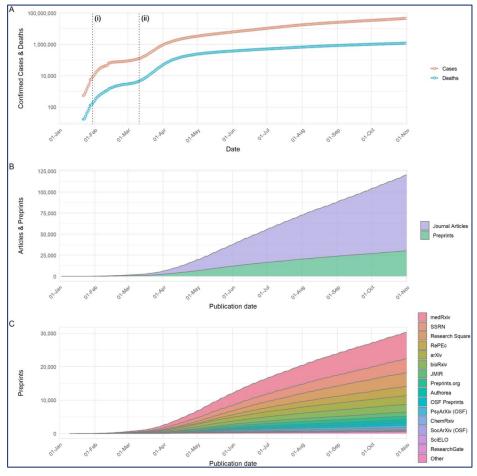
- Significant as a coordinated international OA policy initiative
 - Published principles in 2018
 - General guidelines 2019
 - Detailed guidelines on transformative agreements
 2020
 - Rights Retention Strategy 2021
- Individual funders now implementing Plan S,

including

- Wellcome Trust, Jan 2021
- UKRI, Q2 2021 (expected)



The Rise of Preprints



- Preprints already wellestablished in certain disciplines e.g. physics
- Now being adopted by a range of other disciplines including biomedicine
- Second wave of preprint servers set up 2016 onwards
- Rapid rise in preprinting in the context of the coronavirus pandemic

Source: Fraser, N., et al. (2021). Preprinting the COVID-19 pandemic. *BioRxiv*, 2020.05.22.111294. https://doi.org/10.1101/2020.05.22.111294

2020 by month

(A) Number of COVID-19 confirmed cases and reported deaths. Data is sourced from https://github.com/datasets/covid-19/, based on case and death data aggregated by the Johns Hopkins University Center for Systems Science and Engineering (https://systems.jhu.edu/). Vertical lines labelled (i) and (ii) refer to the date on which the World Health Organisation (WHO) declared COVID-19 outbreak a Public Health Emergency of International Concern, and the date on which the WHO declared the COVID-19 outbreak to be a pandemic, respectively. (B) Cumulative growth of journal articles and preprints containing COVID-19 related search terms. (C) Cumulative growth of preprints containing COVID-19 related search terms, categorised by individual preprint servers. Journal article data in (B) is based upon data extracted from Dimensions (https://www.dimensions.ai; see methods section for further details), preprint data in (B) and (C) is based upon data gathered by Fraser and Kramer (2020).



From Open Access to Open Research

- Open access policies well established
- Trend in institutional open research position statements
- Widening of openness outputs, data, code, (and educational resources?) etc

Often based on the principle, "as open as possible, as

closed as necessary"

Maximizing Accessibility	Community Building
Maximizing Usability	Promoting High-Quality Research & Its Integrity
Supporting an Expanding Range of Contributions	Facilitating the Evaluation
A Distributed, Open Infrastructure	Promoting Flexibility & Innovation
Equity, Diversity & Inclusivity	Cost-Effectiveness

(European Commission, 2019)

