

RoRI: progress and prospects

Launch of Sheffield Metascience Network (MetaNet) 3 March 2021

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RoRI is a partnership initiative

The Wellcome Trust, Digital Science and the Universities of Sheffield and Leiden have joined forces to create RoRI









RoRI launches to enable more strategic, open, diverse, and inclusive research

We're thrilled to announce the launch of the Research on Research Institute (RoRI) – an international consortium of research funders, academic institutions, and technologists working to champion the latest approaches to research on research.

Co-founded by the Wellcome Trust, the universities of Sheffield and Leiden, and Digital Science, the RoRI consortium will undertake transformative and translational research on research (also known as meta-research, science of science or meta-science). By analysing research systems and experimenting with decision and evaluation data, tools





Our five aims for the pilot phase (2020-2021)



To support and build capacity for interdisciplinary, mixed-method and translational RoR in and across research systems worldwide *(research role)*



To connect academic RoR capabilities to the data and analytical resources of our founding and strategic partners (*translation role*)



With these partners, to experiment, coproduce and test new tools, indicators, funding modes, decision and evaluation frameworks (*innovation role*)



To critically evaluate RoR methods and support engagement with RoR data and evidence by decision makers and wider society (*brokerage role*)



To create an independent space for RoR learning, networking and collaboration between researchers, policymakers, funders and technologists (*facilitator role*)





A kinder research culture is possible

Wellcome is right to call out hyper-competitiveness in research and question the focus on excellence. But other funders must follow its move.









focus on excellence in research can contribute to a negative working culture. Credit: James Brittain/View Pictures/UIG/Getty

Wellcome's director Jeremy Farrar didn't hold back. "The emphasis on excellence in the research system is stifling diverse thinking and positive behaviours," he wrote in a blog post last month. "The relentless drive for research excellence has created a culture in modern science that cares exclusively about what is achieved and not about how it is achieved." These are strong words, not least because Farrar acknowledges that the UK biomedical funding charity that he leads helped to create such a focus on excellence.

Wellcome is not alone - excellence is everywhere. Germany plans to spend €533 million (US\$581 million) a year on its Excellence Strategy. In the United Kingdom, £2 billion (US\$2.5 billion) of public funding is allocated annually to universities through a suite of funds that support "excellence wherever it is found". Australia's research-evaluation system is called Excellence in Research for Australia. Worldwide, research facilities are being named centres of excellence, and excellence is scattered generously in the pages of universities' strategic plans.



"Wellcome and its partners in RoRI should be commended for taking an important first step. They have recognized that there are problems in research culture and that these need to be fixed. RoRI will help to probe some of the causes of distress, and suggest solutions. Now, other funders and research-management societies must join the mission..."

Nature editorial, 1 October 2019





Our consortium (as of Jan 2021)

Founding Partners:

Wellcome Trust
Digital Science
University of Sheffield
CWTS, Leiden University









Strategic Partners (for pilot phase, 17 partners from 12 countries and regions):

African Academy of Sciences

Australian Research Council (ARC)

Austrian Science Fund (FWF)

Canadian Institutes of Health Research (CIHR)

Chan Zuckerberg Initiative (CZI)

European Molecular Biology Organization (EMBO)

Howard Hughes Medical Institute (HHMI)

DBT/Wellcome Trust India Alliance

Fondazione Telethon

Michael Smith Foundation for Health Research (MSFHR)

National Institute for Health Research (NIHR)

Novo Nordisk Foundation

Research Council Norway (RCN)

Alfred P. Sloan Foundation

Swiss National Science Foundation (SNSF)

UK Research and Innovation (UKRI)

Volkswagen Foundation



RoRI first-wave projects (2020/21)



CRITERIA

Summary

Funders need their proposal selection processes to do one thing: select the proposals most likely to meet their objectives. Various inequalities in funding rates may exist, such as gender or field inequalities. The selection process a funder uses may mitigate or exacerbate these inequalities. The project will use data from many funders who each use different selection processes in different contexts. The outputs will help funders understand the potential drivers of inequalities in research funding and identify where mitigation is possible.

Partners: Australian Research Council: Canadian Institutes of Health Research: Chan Zuckerberg Initiative; EMBO; Austrian Science Fund (FWF); Michael Smith Foundation for Health Research: Novo Nordisk Fonden: Research Council Norway; W/DBT India Alliance; UKRI; Wellcome Trust



Summary

Initiatives like the UK's Research Excellence Framework, Germany's Exzellenzinitiative and Switzerland's Eccellenza grants have put excellence at the centre of research policy and evaluation. This project will assess the ways in which the idea of excellence is currently used by key actors in the research ecosystem and the functions it serves in specific practices and processes in order to explore its possible futures. It will include detailed case studies of 10 funders.

Partners: African Academy of Sciences; Australian Research Council: Canadian Institutes of Health Research: Austrian Science Fund (FWF); Michael Smith Foundation for Health Research; National Institute for Health Research (UK): Swiss National Science Foundation: Wellcome Trust.



FAIRware

Summary

This project aims to build open source software tool(s) to allow researchers, institutions and funders to assess and improve the 'FAIRness' of the research outputs they produce. Over recent years, the FAIR principles (Findability, Accessibility, Interoperability, Reusability) have gained considerable traction as a basis for describing how research data, and potentially other research outputs, should be documented and shared to ensure that they can be discovered, accessed and used effectively, such that their value is maximised.

Partners: Canadian Institutes of Health Research; National Institute for Health Research (UK); Swiss National Science Foundation; Wellcome Trust.



Summary

The scope of this work is careers in research, broadly defined, with an empirical and policy focus on six countries: Austria, Canada, Denmark, Germany, UK and USA. The project will be designed and delivered by a team drawn from RoRI strategic partners in these countries, and a wider network of data, research and policy partners.

Partners: Canadian Institutes of Health Research; Chan Zuckerberg Initiative; Austrian Science Fund (FWF); Howard Hughes Medical Institute; Michael Smith Foundation for Health Research: National Institute of Health Research (UK); Novo Nordisk Fonden; Sloan; UKRI; Volkswagen Foundation; Wellcome.



RANDOMISATION

Summary

There is growing interest in the use of randomisation and lottery-type mechanisms in grant funding. By linking and supporting a series of linked and phased experiments with uses of focal, or targeted randomisation in funding processes (our preferred term to the sometimes misleading "lotteries"), and facilitating closer alignment and learning between these, the RoRI consortium could effectively undertake the largest multifunder, cross-country trial and analysis of these techniques.

Partners: Australian Research Council: Canadian Institutes of Health Research: Chan Zuckerberg Initiative; EMBO; Austrian Science Fund (FWF); Michael Smith Foundation for Health Research: National Institute of Health Research (UK); Novo Nordisk Fonden; Sloan; Swiss National Science Foundation; UKRI; Volkswagen Foundation; Wellcome.







Growing interest in research on research





evidence that informs the iterative generation and refinement of reform initiatives. We introduce a translational framework that involves (a) identifying problems, (b) investigating problems, (c) developing solutions, and (d)

evaluating solutions. In each of these areas, we review key meta-research endeavors and discuss several examples of

prior and ongoing work. The scientific ecosystem is perpetually evolving; the discipline of meta-research presents an

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The Science of Science

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For Teams, What Matters More: Raw Talent or a History of Success Together?

A study of professional sports teams suggests that one factor is clearly more important, but the best teams combine them both. Satyam Mukherjee, Yun Huang, Julia Neidhardt, Brian Uzzi and Noshir

Research on research landscape

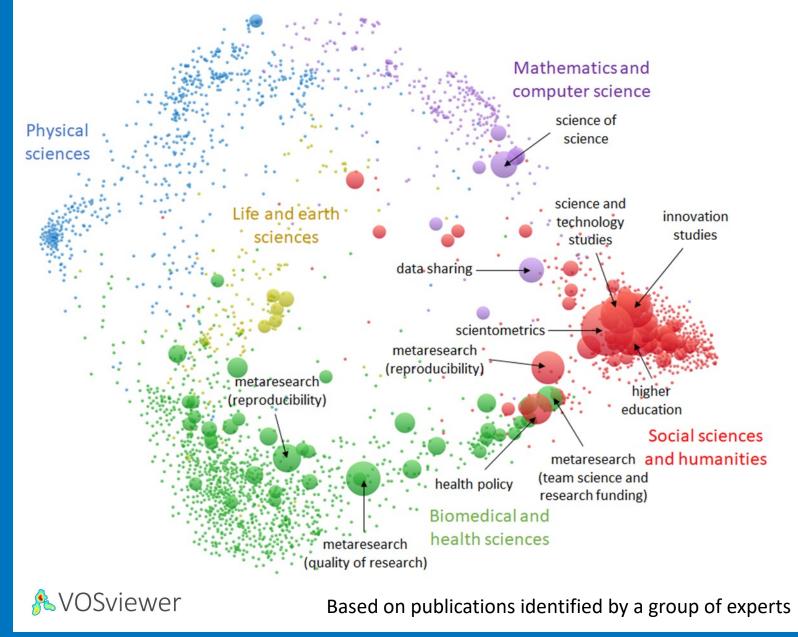
RoR taking place in a number of different disciplinary areas

Using different methods and theories

Often taking place in silos

RoRI aims to work across boundaries

RoRI landscape report to be published in May 2021





Home

Responsible Research Assessment - a virtual conference from the Global Research Council

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ited to promoting the sharing of ctices for high-quality collaboration encies worldwide. It recognises the s previous work, such as the 'merit review, for developing a ding of the topic of responsible ent amongst funders. With the ic putting a spotlight on the rnational collaboration in scientific ocial impact of research becoming e is now renewed urgency for gether and reconsider how research aluated. This conference presents a for research funders to come ether, learn and look to the future. o engaged discussions over the



Professor Andrew Thompson

UKRI Champion for International and GRC Governing Board member



Dr. Molapo Qhobela CEO of NRF South Africa and Chair of the GRC Governing Board



RoRI Working Paper No.3

The changing role of funders in responsible research assessment:

progress, obstacles and the way ahead

Stephen Curry, Sarah de Rijcke, Anna Hatch, Dorsamy (Gansen) Pillay, Inge van der Weijden and James Wilsdon

November 2020

Produced in partnership with:











Pilot phase: a lot of progress, but more to do!

- ▶ Building the consortium itself is our greatest achievement to date, in demonstrating a shared commitment to this agenda. Between them, RoRI partners invest around US\$20 billion each year in research, so by supporting real-time experimentation, data-gathering & comparative analysis, we have real opportunities to strengthen research cultures and decision making. We also now have active engagement from 7-8 publishers, which could deepen as we move into Phase 2.
- Together we have co-designed and are collaboratively undertaking a suite of five projects, which will run for the rest of RoRI's pilot phase.

Keyword(s)	Title	Timetable	Strategic Partners involved / interested	Core team leads	Next steps
CRITERIA	How do grant application criteria influence inequalities in research funding?	June 2020 - Sept 2021 (+ possible qualitative follow-up project)	10 partners as of May 2020: CIHR: C2); EMBQ: MSFHR; Noo Nordisk Fonder: NRC; NVO; W/DBT India Alliance; UKRI; Wellcome	Matt Thakur Vincent Traag Danil Mikhailov Wolfgang Kaltenbrunner	Initial scoping work now underway. Project partner meetings in late May/ early June
EXCELLENCE Ĉ	Transforming 'excellence': definitions, applications and alternatives to excellence in the evaluation, management & measurement of research	July 2020- Sept 2021	10 partners as of May 2020: ARC; CIHR; CZI; EMBO; FWF; HHMI; MSFHR; NIHR; SNSF; Wellcome	Stephen Pinfield Thomas Franssen Sarah de Rijcke	Initial scoping now underway. Project partner meetings in late May/early June. Drop-in session 12:00-13:00 BST Weds 27 May
FAIRware	FAIRware: an open source software tool to assess the FAIR status of research outputs	June 2020 – Sept 2021	4 partners as of May 2020: CIHR: NIHR: SNSF: Wellcome (4 partners as of May 2020	Adam Dinsmore Stephen Pinfield	Work underway. Request for Proposals to be published in June 2020.
PATHWAYS	Career pathways in research: a six-country, multi-partner study of data, frameworks & future directions	July 2020- Sept 2021 (+ possible further phase into 2022)	9 partners as of May 2020: CIHR: CZI; FWF; HHMI; MSFHR; Novo Nordisk Fonden; UKR; Volkswagen Foundation; Wellcome (9 partners as of May 2020)	Anne-Marie Coriat James Wilsdon Inge van der Weijden	Scoping underway. Partner cluster or 1-1 calls to be arranged for early June.
RANDOMISATION	Focal randomisation in grant funding: a multi-funder comparative study	July 2020- Sept 2021 (+ possible expansion into further funder experiments)	13 partners as of May 2020: ARC; CHR. C.ZI; EMBO; FWF; MSFHR: NIHR: NWO; NRC; SNSF; UKRI; Volkswagen Foundation; Wellcome	James Wilsdon Sarah de Rijcke Ludo Waltmann Helen Buckley Woods	Scoping underway. Partner cluster or 1-1 calls to be arranged for early June.



What does success look like at the end of the pilot phase?



- Clear evidence for the continued & growing need for transformative and translational RoR (heightened now in the context of Covid-19);
- A committed, diverse and effective consortium: how many partners, of what type, and over what range of geographies? How much have different partners contributed, and in what forms?
- What we've co-developed together: processes, project scoping and identification of working methods, inclusive dialogue, data systems and data-sharing.
- Our formal outputs: launch conference, working papers, reports, tools, training resources, events.
- Our broader outcomes: projects well underway with preliminary results; evidence of uptake and use of RoRI work by our partners; data-sharing; evidence of experimentation; use of new tools etc.
- Core team well established and working well (with clear governance structures, strategic and operational-level management systems, transparent financial operations etc.)
- Positioning of RoRI as a partner of choice, and as an open, collaborative platform for engaging with diverse actors in research systems (funders, publishers, academics, learned societies etc)



RoRI phase 2: our headline goal?

A global, self-sustaining network for transformative and translational research on research that informs & influences how research is funded, practiced, communicated and evaluated.



Features of RoRI we value & may want to preserve

- A cross-sector, plural, co-owned public interest model;
- Independent, resilient identity and constitution that transcends individual organisational and partner interests;
- Sufficient scale to engage with the range and complexity of research systems;
- Transdisciplinary, multi-method, co-productive;
- Translational RoR that is relevant and applicable by key actors in research systems;
- A safe environment for experimentation, risk-taking and collaboration;
- A shared commitment to open infrastructures and open data.



Additional features we may want to consider

- Supporting and facilitating multi-partner funding calls on RoR?
- Additional strand of capacity-building in RoR (esp with partners in global south)?
- Possible RoR community publishing platform (along the lines of MIT Press' Harvard Data Science Review https://hdsr.mitpress.mit.edu/specialissue1);
- Building and supporting an online community of practice (akin to the Health Foundation's Q Community https://q.health.org.uk)
- Training and accreditation, short courses, MOOCs etc (online);
- Other member services in a similar way to a professional body

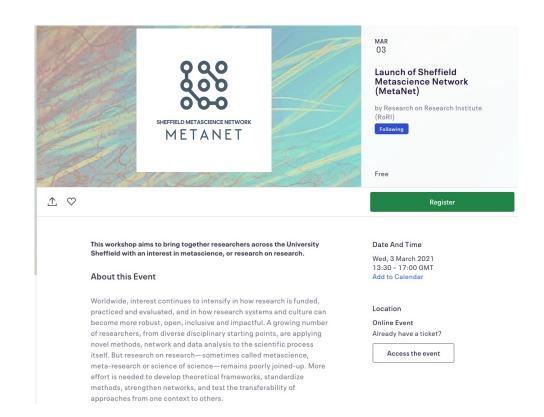


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